



# U.S. Customs and Border Protection



## Summary of ACE/ITDS Pilot Standard Operating Procedures (SOP): Alcohol and Tobacco Tax and Trade Bureau (TTB)

**Objective:** TTB is developing a message set and processes to support the electronic submission of data for TTB's needs. In order to test the developed message set and processes, CBP and TTB will engage, together with the trade community, in a pilot to test the new system as it is used by both CBP and TTB operations in real time with actual ACE filings in the production environment.

**Scope:** Transition from Paper Forms to PGA Message Set

Form	Form Description	PGA Message Set	DIS	CFR Citation for applicable regulations
Certificate of Effective Tax Rate	Certificate of Effective Tax Rate	No	No	27 CFR 27.76
Foreign Certificates	Certificates of Origin (Scotch, Irish, Canadian and other Whiskies); Certificate of Age and Origin (Brandy, Cognac, Rum, and Tequila); Certification of Vintage Wine; Certification of Origin and Identity, and Certification of Proper Cellar Treatment of Natural Wine	Yes- Data element indicator	No	27 CFR 4.27, 4.45, 5.52, 27.140
Standard Effective Tax Rate Approval	Standard Effective Tax Rate Approval	No	No	27 CFR 27.77
Transfer Record and Package Gauge Record	Transfer Record and Package Gauge Record, or Data Required at Entry for Withdrawals Without Payment of Tax	Yes	No	27 CFR 26.301, 26.302, 27.138, 27.139, 27.172
TTB F 5100.31	Application For and Certification/Exemption of Label/Bottle Approval	Yes	No	27 CFR 4.40, 5.51, 7.31, 26.202, 27.58, 27.59, 27.60
TTB F 5150.33	Spirits for Use of the United States	Yes	No	27 CFR 27.183
TTB F 5170.2	Basic Permit (Under Federal Alcohol Administration Act)	Yes	No	27 CFR 1.20, 26.202, 27.55.
TTB F 5200.11	Notice of Release of Tobacco Products	Yes	No	27 CFR 41.86
TTB F 5200.24	Tobacco Importer Permit (Under 26 U.S.C. Chapter 51)	Yes	No	27 CFR 41.190
TTB F 5150.9	Industrial Alcohol User Permit	Yes	No	27 CFR 26.292

**Ports (Phases 1, 2, 3, & 4):** TTB plans to expand its pilot according to CBP's port expansion schedule. As CBP makes ports eligible for filing, TTB's pilot parameters will expand to include these ports.

For Technical Specifications click on the link: <http://www.cbp.gov/trade/automated/systems>